



**Office of Children
and Family Services**

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**New York State
Office of Children and Family Services
Division of Child Welfare and Community Services**

Non-Grant Procurement

REQUEST FOR PROPOSALS

RFP # 2018-13

NYS Education Training Voucher Program

Issued: 7/18/2018

Amended: 8/3/2018

Amended: 8/9/2018

Table of Contents

1.0	GENERAL INFORMATION/CALENDAR OF EVENTS	4
1.1	Procurement Integrity/Restrictions on Communication	4
1.2	Calendar of Events	5
1.3	Informational Meeting/Technical Assistance Session	5
1.4	Submission of Written Questions	6
1.5	OCFS Reserved Rights	6
2.0	EXECUTIVE OVERVIEW	8
2.1	Purpose and Procurement Objectives	8
2.2	Background	9
2.3	Term of Contract	10
3.0	MINIMUM QUALIFICATIONS TO PROPOSE	10
3.1	Minimum Qualifications (Pg 11 amended on 8/3/18)	10
3.2	Vendor Responsibility Requirements	11
4.0	SCOPE OF WORK	12
4.1	Services to be provided	12
4.2	System Requirements	14
4.3	Application Process Requirements and Timeline	15
4.4	Reporting Requirements	17
4.5	Additional Support Services	18
5.0	PROPOSAL REQUIREMENTS	18
5.1	General	18
5.2	Overview of Submission Requirements	20
5.3	Part I - Administrative Proposal	20
5.4	Part II - Technical Proposal (80 points) (Pg 26 amended on 8/3/18. Pg 24 and 25 amended on 8/9/18)	22
5.5	Part III - Cost Proposal (20 points) (Pg 28, 34 and 35 amended 8/9/18)	27
5.6	Submission of Proposals	37
6.0	EVALUATION AND SELECTION METHODOLOGY	38
6.1	General Information	38
6.2	Submission Review	39
6.3	Technical Evaluation	39
6.4	Cost Evaluation	39
6.5	Preliminary Composite Score	40
6.6	Interviews	40

6.7	Client Listing	40
6.8	Final Composite Score.....	40
6.9	OCFS Procedure for Handling Debriefing Requests, Formal Protests and Appeals	40
7.0	MANDATORY CONTRACTING REQUIREMENTS.....	44
7.1	Contract Readiness	44
7.2	Standard Contract Language.....	45
7.3	Contract Management System (CMS).....	45
7.4	Workers Compensation Insurance and Disability Benefits Coverage.....	46
7.5	Contractor Employee and Volunteer Background/Confidentiality (Non-Disclosure Agreement Forms)	47
7.6	Minority- and Women-Owned Business Enterprises (MWBE) – Equal Employment Opportunity (EEO) - Requirements and Procedures	48
7.7	Service-Disabled Veteran-Owned Business (SDVOB).....	51
7.8	Omnibus Procurement Act	52
7.9	Anti-Kickback Act.....	53
7.10	Contractor Responsibility	53
7.11	Multi-Agency Use	54
7.12	Public Officers Law	54
7.13	Office of Information Technology Services	54
7.14	New York State Sales and Compensating Use Taxes.....	54
7.15	Consultant Disclosure Requirements.....	55
7.16	Application Development or Programming	56
7.17	Federal Funds.....	56
7.20	Summary of Forms Required After Award and Prior to Contracting.....	57
7.21	Summary of Forms Required During Contract Term.....	57
	Attachment A – Cost Proposal – Non-Grant Budget Template	58

1.0 GENERAL INFORMATION/CALENDAR OF EVENTS

The Education Training Voucher (ETV) program is intended to help youth aging out of foster care to make the transition to self-sufficiency and receive the education, training, and services necessary to obtain employment. Federal funds are utilized by the NYS Office of Children and Family Services (OCFS) to administer the ETV program. The purpose of this Request for Proposals (RFP) is to obtain a vendor to administer the ETV Program on behalf of OCFS.

Note: Throughout this document, the terms proposals, bids, offers, and applications are used interchangeably, as are applicants, bidders, and offerers.

If the offerer discovers any ambiguity, conflict, discrepancy, omission, or other error in this RFP, the offerer shall immediately notify OCFS (see **Section 1.1, Procurement Integrity/Restrictions on Communications**) of such error in writing and request clarification or modification of the document.

If, prior to the deadline for submission of written questions, an offerer fails to notify OCFS of a known error in or omission from the RFP, or of any error or omission or prejudice in bid specification or documents with the RFP that the offerer knew or should have known, the offerer agrees that it will assume such risk if awarded funds, and the offerer agrees that it is precluded from seeking further administrative relief or additional compensation under the contract by reason of such error, omission, or prejudice in bid specification or documents.

1.1 Procurement Integrity/Restrictions on Communication

This procurement is subject to, and shall be conducted in accordance with the New York State Finance Law, including but not limited to Article IX. Contracts §§ 139-j. and 139-k.

Please be advised that state law prohibits any vendor from exerting or attempting to exert any improper influence relating to its proposal. "Improper influence" means any attempt to achieve preferential, unequal, or favored consideration of a proposal based on considerations other than the merits of the proposal, including but not limited to, any conduct prohibited by the Ethics in Government Act, as set forth in Public Officers Law §§ 73 and 74.

All inquiries concerning this procurement must be addressed to the director of contracts in the RFP Unit, or his/her designee(s) at OCFS, via email (preferred) to RFP@ocfs.ny.gov or via hard copy mailed to:

Director of Contracts
Questions for RFP # 2018-13 NYS Education Training Voucher Program
Office of Children and Family Services
52 Washington Street
Room 202S – RFP Unit
Rensselaer, NY 12144

“Restricted period” means the period of time commencing with the earliest posting, of written notice, advertisement, or solicitation, to include but not limited to on a governmental entity’s website, in a newspaper of general circulation, or in the NYS Contract Reporter, of an RFP intending to result in a procurement contract with OCFS and ending with the final contract award by OCFS or, where applicable, final contract approval by the NYS Office of the State Comptroller (OSC), Bureau of Contracts.

During the “restricted period,” as defined above, no offerer-initiated contact with any OCFS official shall be permitted regarding this procurement, except as provided herein. This prohibition applies to any oral, written, or electronic communication under circumstances where a reasonable person would infer that the communication was intended to influence this procurement. Violation of any of the requirements described in this **Section 1.1 Procurement Integrity/Restrictions on Communications**, may be grounds for a determination that the offerer is non-responsible and therefore ineligible for this contract award. Two violations within four years of the rules against impermissible contacts during the “restricted period” may result in the violator being debarred from participating in an OSC procurement for a period of four years.

1.2 Calendar of Events

RFP # 2018-13 NYS Education Training Voucher Program	
EVENT	DATE
Issuance of Request for Proposals	7/18/2018
Informational Meeting/Technical Assistance Sessions/Bidders Conference (<i>optional</i>)	Not Applicable
Deadline for Submission of Written Questions	8/3/2018 by 5:00 p.m. Eastern Time
Responses to Written Questions Posted on OCFS website and NYS Contract Reporter (Anticipated)	8/15/2018
Deadline for Submission of Proposals	8/31/2018 by 4:00 p.m. Eastern Time
Interviews/Site Visits, (<i>optional</i>) (not earlier than the week of)	Not Applicable
Anticipated Notification of Award (not earlier than)	9/30/2018
Anticipated Contract Start Date (not earlier than)	10/31/2018

1.3 Informational Meeting/Technical Assistance Session

Not Applicable.

1.4 Submission of Written Questions

All communications to report errors or omissions in the procurement process or to ask questions or to request clarification of this RFP should cite the particular RFP section and paragraph number and must be submitted via email (preferred) RFP@ocfs.ny.gov, or via hard copy mailed to the director of contracts no later than the deadline for submission of written questions specified in **Section 1.2 Calendar of Events**. Questions received after the deadline for posting responses to written questions may not be answered. The comprehensive list of questions and responses will be posted on the OCFS website (<http://ocfs.ny.gov/main/bcm/rfp.asp>) and the NYS Contract Reporter website at (<https://www.nyscr.ny.gov/login.cfm>) on the date specified in **Section 1.2 Calendar of Events**.

1.5 OCFS Reserved Rights

OCFS reserves the right to:

1. place a monetary cap on the funding amount made in each contract award;
2. change any of the schedule dates stated in this RFP prior to the due date for the submission of proposals;
3. reject any or all proposals received in response to the RFP;
4. withdraw the RFP at any time at the agency's sole discretion;
5. make an award under the RFP in whole or in part;
6. disqualify any bidder whose conduct and/or proposal fails to conform to the requirements of the RFP;
7. reject any proposal if, in the sole discretion of OCFS, it determines the bidder is not a responsible vendor;
8. seek clarification and revisions of proposals. Request bidders to present supplemental information clarifying their proposals either in writing or by formal presentation. Other than the requested clarification and supplemental information, submission of new information is not permitted;
9. require that bidders demonstrate, to the satisfaction of OCFS, any feature(s) present as a part of their proposal, which may include an oral presentation of their proposal. Any such demonstration or presentation may be considered in the evaluation of the proposal;
10. amend any part of this RFP prior to opening of bids, with notification to all bidders, and direct all bidders to prepare modifications addressing RFP

amendments, if necessary. Expenses incurred in the preparation of any proposals or modifications submitted in response to this RFP are the sole responsibility of the bidder or other party and will not be incurred or reimbursed by OCFS;

11. make funding decisions that maximize compliance with and address the outcomes identified in this RFP;
12. fund only one portion, or selected activities, of the selected bidder's proposal and/or adopt all or part of the selected bidder's proposal based on federal and state requirements;
13. eliminate any RFP requirements that cannot be met by all prospective bidders upon notice to all parties that submitted proposals;
14. waive procedural technicalities or modify minor irregularities in proposals received after notification to the bidder involved;
15. correct any arithmetic errors in any proposal or make typographical corrections to proposals with the concurrence of the bidder;
16. negotiate with the selected bidder(s) prior to contract award;
17. conduct contract negotiations or award a contract to the next highest bidder if contract negotiations with the selected bidder(s) cannot be accomplished within an acceptable time frame. No bidder will have any rights against OCFS arising from such actions;
18. award contracts to more than one bidder or to other than the lowest bidder;
19. require that all proposals be held valid for a minimum of 180 days from the closing date for receipt of proposals, unless otherwise expressly provided for in writing;
20. fund any or all of the proposals received in response to this RFP. However, issuance of this RFP does not commit OCFS to fund any proposals. OCFS can reject any proposals submitted and reserves the right to withdraw or postpone this RFP without notice and without liability to any bidder or other party for expenses incurred in the preparation of any proposals submitted in response to this RFP and may exercise these rights at any time;
21. use the proposal submitted in response to this RFP as part of an approved contract. At the time of contract development, awardees may be requested to provide additional budget and program information for the final contract;
22. utilize any and all ideas submitted in the proposals received where an award is ultimately made;
23. require clarification at any time during the procurement process and/or require correction of arithmetic or other apparent errors for the purpose of assuring a

full and complete understanding of an offerer's proposal and/or to determine an offerer's compliance with the requirements of the solicitation;

24. make additional awards based on the remaining proposals submitted in response to this RFP and/or provide additional funding to awardees if such funds become available;
25. make inquiries of third parties, including but not limited to, bidders' references, with regard to the applicants' experience or other matters deemed relevant to the proposal by OCFS. By submitting a proposal in response to this RFP, the applicant gives its consent to any inquiry made by OCFS;
26. require contractors to participate in a formal evaluation of the program to be developed by OCFS. Contractors may be required to collect data for these purposes. The evaluation design will maintain confidentiality of participants and recognize practical constraints of collecting this kind of information;
27. consider statewide distribution and regional distribution within New York City, including borough distribution methodology, in evaluating proposals;
28. rescind awards for failure of awardees to meet timeframes that OCFS is required by statute to meet for contract development and approval;
29. cancel this RFP, in whole or in part, at any time and to reject any and all proposals when appropriate in the best interests of the state; and
30. make adjustments to the funding amount requested based on program need and based on the total dollar value of the applications submitted.

Prior to the deadline for submission of proposals, any such clarifications or modifications as deemed necessary by OCFS will be posted in the NYS Contract Reporter, and on the OCFS website. Potential offerers that were sent the original bid notice via email will receive an email from the RFP unit regarding the clarifications or modifications. All other individuals will have to check the NYS Contract Reporter or the OCFS website for any changes and check the posted Q&As.

2.0 EXECUTIVE OVERVIEW

2.1 Purpose and Procurement Objectives

The Education Training Voucher (ETV) program is intended to help youth aging out of foster care and youth formerly in foster care to make the transition to self-sufficiency and receive the education, training, and services necessary to obtain employment. OCFS receives a federal grant to administer the ETV program. Eligible youth may receive an ETV award of up to \$5,000 a year to assist with their post-secondary education and/or vocational training opportunities. The purpose of this RFP is to obtain a vendor to administer the ETV Program on OCFS' behalf.

2.2 Background

The Promoting Safe and Stable Families Amendments of 2001, Public Law 107-133, were signed into law on January 17, 2002. Title II, Section 201, of the amendments, entitled “Education and Training Vouchers for Youths Aging Out of Foster Care,” amended section 477 of Title IV-E of the Social Security Act, targeting additional resources specifically to meet the education and vocational or training needs of youth. The voucher or vouchers awarded to youth under the ETV program may be available to pay for the cost of attendance at an institution of higher education, as defined in 20 U.S.C. §§ 1001 and 1002, and shall not exceed the lesser of \$5,000 per year or the total cost of attendance as defined in 20 U.S.C. § 1087ii (see 42 U.S.C. § 677(i)(4)).

Federal law provides that education and training vouchers under the ETV program may be available to youth otherwise eligible for independent living services under the State’s John H. Chafee Foster Care Independence Program (CFCIP). Youth who are otherwise eligible to receive independent living services under the State’s CFCIP include youth in foster care (i.e., youth in the care and custody, or custody and guardianship, of a local department of social service (LDSS) commissioner) who are 14 years of age or older including youth who are 14 years of age or older who are placed in a foster home with an approved relative. This includes all categories of foster care, including, but not limited to:

- persons in need of supervision (PINS) and juvenile delinquents placed in the custody of an LDSS Commissioner;
- juvenile delinquents in the custody of OCFS who are placed in non-secure OCFS operated facilities of 25 beds or less, and those who are on aftercare status.

Youth who have aged out of foster care at age 18, 19 or 20 years of age are also otherwise eligible to receive independent living services under the state’s CFCIP until 21 years of age.

Former foster care youth who are between 18 and 21 years of age who exited foster care for reasons other than adoption, legal guardianship or aging out of care are also otherwise eligible under the state’s CFCIP if such youth experienced foster care at the age of 14 years or older.

For the purposes of the ETV program, youth who are adopted from, or enter kinship guardianship from foster care, after attaining 16 years of age, are also considered to be youth otherwise eligible for independent living services under the State’s CFCIP.

A youth participating in the ETV program on the date he or she attains 21 years of age may remain eligible until he or she attains 23 years of age, so long as the youth is enrolled in a post-secondary education or vocational or training

program, and the youth is making satisfactory progress toward completion of that program.

Please Note: Available funding for the ETV Program under this RFP is expected to be approximately \$2,083,161 of which ninety-one percent (91%), or approximately \$1,895,676, must be used for direct payments of student vouchers. The remaining nine percent (9%), which is anticipated to be \$187,485, may be used for operational costs to administer the ETV program. The funding listed above is the anticipated amount for the first contract year and may be subject to change during future annual contract renewals.

Any costs incurred for the transitional period are to be included in the operational expenses for the contract to be submitted in the first monthly claim. The transitional period is that period of time that will begin upon OSC approval of the contract and continue until 1/31/2019. The contract will allow monthly claim submissions to be submitted during the operational period only, with the first claim due 30 days after first month of the operational period which is anticipated to be from 2/1/2019 – 2/28/2019.

2.3 Term of Contract

The contract awarded in response to this RFP will be for five years and eight months with an anticipated start date of **10/31/2018** and the anticipated end date is **6/30/2024**. The initial contract period will be from 10/31/2018 to 6/30/2020 with the option of up to four one-year renewals. The transitional period will begin upon NYS Office of the State Comptroller (OSC) contract approval and continue until 1/31/2019. The operational period of the contract will commence on 2/1/2019 and continue through the term of the contract.

Funding is currently anticipated to be available for the first period of the contract. The award of a contract does not guarantee that funding will be available for subsequent years. The Contractor may not begin to provide services prior to the contract start date; OCFS has no obligation to pay for services rendered prior to that time.

3.0 MINIMUM QUALIFICATIONS TO PROPOSE

3.1 Minimum Qualifications (Amended 8/3/18)

All not-for-profit organizations, non-federal governmental agencies and for-profit organizations meeting the requirements stated below are eligible to submit proposals in response to this procurement.

For not-for-profit organizations, OCFS will only contract with organizations whose governing board of directors includes a minimum of three (3) members.

A. The offerer must be registered to do business in NYS.

- B. Eligible offerers must have provided, **or demonstrated the capacity to provide on a similar scale**, an internet portal to a governmental agency with the capacity to accommodate at least 800 online applicants.
- C. The offerer must have a minimum of five years of experience providing, **or demonstrated the capacity of providing on a similar scale**, the services listed in **Section 4.0 Scope of Work** to at least 800 youth for any governmental agency.

3.2 Vendor Responsibility Requirements

Section 163(9)(f) of the New York State Finance Law requires that a state agency make a determination that a bidder is responsible prior to awarding that bidder a state contract. Vendor responsibility will be determined based on the information provided by the bidder, on-line, through the New York State VendRep System Questionnaire or through a paper copy of the *Vendor Responsibility Questionnaire*. OCFS will review the information provided before making an award.

OCFS reserves the right to reject any proposal if, in its sole discretion, it determines the bidder is not a responsible vendor. All proposals are subject to a vendor responsibility determination before the award is made, and such determination can be revisited at any point up to the final approval of the contract by the New York State Office of the State Comptroller (OSC). Vendors must maintain their vendor responsibility throughout the duration of the contract.

Enrolling and completing the questionnaire online through the New York State VendRep System is the best method because both the questionnaire and answers are stored in the system. Thus, subsequent questionnaires in response to contracts or Request for Proposals from any state agency would only need to be updated in the VendRep System.

To access or enroll in the VendRep System or update your existing online questionnaire, click [On-line Questionnaire](#). Questionnaires in the VendRep System that have been completed in the last six months in response to contracts or bid announcements do not need to be updated. If the vendor is using the hardcopy notarized questionnaire, then it also must be current within six months of the due date of the proposal.

Vendors opting to complete a paper questionnaire, can access the questionnaire by clicking the following link: [Paper Questionnaire](#). Please note that there are separate questionnaires depending on the contractor status. Not-for-profit vendors must use the *Vendor Responsibility Questionnaire Not-For-Profit Business Entity* form. For-profit vendors must use the *Vendor Responsibility Questionnaire For Profit Business Entity* form.

Vendors are also encouraged to have subcontractors file the required *Vendor Responsibility Questionnaire* online through the New York State VendRep System. These subcontractors are required to submit a questionnaire when the value of the subcontract is \$100,000 or more.

Prior to executing a subcontract agreement, the contractor must provide the information required by OCFS to determine whether a proposed subcontractor is a responsible vendor.

Vendors must provide their New York State Vendor Identification Number when enrolling. To request assignment of a Vendor Identification Number or for direct VendRep System user assistance, the OSC Help Desk may be reached at 866-370-4672 or 518-408-4672 or by email at ciohelpdesk@osc.state.ny.us.

The New York State VendRep System offers the following benefits:

- Ease of completion, filing, access to and submission of the questionnaire. Efficiencies are multiplied for vendors who bid and contract with the state frequently or with multiple state agencies.
- Questionnaire updates are easily filed by updating only those responses that require change from the previously saved questionnaire (as opposed to a paper copy where a new questionnaire is required each time there is a change).
- The stored questionnaire information eliminates the need to re-enter data for each subsequent questionnaire submission.
- Reduction of costs associated with paper documents including copying, delivery and filing.
- Online questionnaire information is secure and accessible to authorized vendor users only. State agencies can only view certified and finalized questionnaires.
- VendRep question prompts ensure that the correct forms are completed.
- The VendRep On-Line System contains links to all definitions of the terms used in the questionnaire.

Note: The *Vendor Responsibility Questionnaire* must be dated within six months of the proposal due date. Any subcontractors under that proposed contract must also complete a *Vendor Responsibility Questionnaire* when the value of the subcontract is projected to be \$100,000 or more for the contract term.

Confirmation of **completion** of the vendor responsibility process must be submitted with your proposal. This confirmation can take the form of registration in the VendRep system, or by submitting your completed hardcopy questionnaire. To submit this confirmation with your application, go to the bottom of your certified questionnaire, and click the button called "Form Overview." Print this page and include it with your proposal.

4.0 SCOPE OF WORK

4.1 Services to be provided

The contractor will provide

- 1) access, maintenance and technical assistance for OCFS and applicant use of an online portal; and
- 2) administrative services for the NYS ETV Program in accordance with all applicable state and federal laws, rules, regulations and policies. This includes OCFS policies, priorities and practices as further described in this section. All relevant directives and administrative requirements of OCFS and/or the State of New York shall be binding on the contractor.

The selected offerer agrees to administer and serve as New York State's fiscal agent for the ETV program.

The contractor will be responsible for the following:

- Maintaining confidentiality of records in accordance with state and federal laws;
- Awarding ETV funding in accordance with the applicable state and federal laws, rules and regulations, federal guidelines and applicable OCFS policies;
- Confirming receipt of applications from youth;
- Determining if a youth is eligible for funding by:
 - reviewing applications to determine if they are complete;
 - confirming applicants were identified by OCFS as a youth who may be eligible;
 - confirming the date of birth and name of the youth and if they are within the age range applicable for ETV funds;
 - confirming that post-secondary institutions are Title IV as per the Higher Education Act, -confirming that awardees of ETV funds are enrolled in institutions of higher education as defined under Title 20 U.S.C. §§ 1001 and 1002; and
 - confirming that awardees of ETV funds are making satisfactory progress toward the completion of the post-secondary educational or vocational program in which they are enrolled.
- Awarding funds to eligible youth and informing youth of such award;
- Sending College Financial Aid Offices student award letters in accordance with the requirements of the Higher Education Act and other applicable laws, rules and regulations;
- Referring to OCFS any youth's application which the contractor finds to be ineligible for ETV funds in the form and manner determined by OCFS;
- Monitoring the academic progress of youth receiving ETV funds and notifying OCFS immediately if
 - awardees of ETV funds are not properly enrolled in an institution of higher education,
 - a youth is not making satisfactory progress toward the completion of the post-secondary educational or vocational program in which he or she is enrolled, and
 - there is any other change that impacts youth ability to continue to receive funding or be eligible for funding in future years.

- Providing accurate fiscal reports to OCFS with any required supporting documentation;
- Establishing a direct contact with OCFS ETV program managers;
- Creating or operating an information technology system to meet the needs of OCFS and ETV program requirements including, but not limited to, the requirements provided for in section 4.2 below;
- Notifying the OCFS ETV Program Coordinator immediately, in writing, of funding deficiencies or other discrepancies involved with administering the ETV program;
- Working with the predecessor ETV contractor during the transition period to obtain all OCFS data from the existing system in a format that allows for the transfer of data to the new contractor's system as well as obtaining any other necessary program data, information and documents from the predecessor contractor to continue ETV program operations;
- Towards the end of the contract term, working with the successor ETV contractor to facilitate transition of data to the new contractor's system and any other data, information or documents necessary to continue ETV program operations; and
- Performing all necessary work to ensure full operation of the ETV program effective 2/1/2019.

4.2 System Requirements

The selected offerer's system must meet the following requirements:

- Be comprehensive and include the capability to request, receive, maintain and report on all data required to make eligibility and funding determinations, track status of applications and include all functionality required to process ETV applications.
- The system must be fully compliant with New York State Enterprise IT Standards NYS-P08-005.
- The system must allow up to five OCFS ETV program coordinators to have access to the online portal. The access must allow OCFS users to access the information detailed below. Each OCFS user must be assigned a unique user name and password.
- The system must be automated to support administration of the program and have automated application forms.
- The system must support online applications. Applicants must be able to apply for ETV using the online application form developed by the contractor;
- The online application for FFY 2018-2019 must be available online beginning on October 31, 2018 or if an extension is required no later than February 1, 2019.
- Be customizable as necessary to be in compliance with required changes pursuant to state or federal laws, rules, regulations or policy changes.
- Comply with state and federal record retention laws, rules and regulations for audit purposes.

The online application must have the capacity to collect the following necessary information:

- Student's full name, any previous names, date of birth, gender, ethnic background, Native American tribe and language
- Student's contact information (email, phone, mailing address)
- Student's emergency contact information
- Family information (e.g., is student a parent, expecting, single, married)
- Name of agency student worked with prior to leaving foster care;
- Student employment/volunteer information
- Student Medicaid insurance information (yes/no)
- Current educational status
- College/Vocational school information (i.e., the name of the post-secondary educational or vocational training program the youth is currently enrolled in and/or attending, which priority is applicable to the youth, and the amount of funds being requested for costs of attending a post -secondary educational or vocational training program)
- Foster care (FC) information (including, but not limited to: whether student was ever in care and if so at what age were they initially in FC placement, adoption date if applicable, whether student is currently in care and if so in what state and county, guardianship placement date if applicable, whether they were in care in a different state.)
- Whether or not youth were placed in permanent legal guardianship from foster care after the age of 16
- Financial aid information
- E-Signature page
- Information Release Agreement (students over the age of 18 years old or legal guardian for those under the age of 18 years old, agreement to give the contractor permission to discuss financial aid status and funding with social worker/caseworker and college financial aid office/bursar.)

The system must be designed to allow OCFS program coordinators to:

- access all required forms and proof of eligibility documentation provided by the student and educational institutions;
- review and download a report listing all students, their eligibility, application status, ETV award amounts; and,
- access to all OCFS data for up to 5 users to conduct quality assurance testing to ensure compliance with New York State Enterprise IT Standards NYS-P08-005 and for program monitoring purposes.

4.3 Application Process Requirements and Timeline

The contractor selected to administer the ETV program on behalf of OCFS will be required to perform the following duties:

- Ensure applicants can apply online after July 1st of each year;
- Process ETV applications to determine funding within 10 business days immediately after OCFS determines eligibility;

- If contractor cannot process the ETV application within the required timeframe, contractor must immediately contact the OCFS ETV program manager, in writing;
- Verify student's enrollment and attendance at an institution of higher education;
- Obtain all financial aid information from the post-secondary or vocational education institution. (The information will include confirmation information that the FAFSA has been completed, all tuition costs and fees, Pell grant award amount(s), TAP award amounts(s), other scholarships, outstanding student loans, student attendance (full-time/part-time) records, number of completed/outstanding credits, housing location (on or off campus) and costs, meal plans and costs, etc.);
- Obtain transcripts from the student or post-secondary or vocational institution to determine whether the student is making satisfactory progress towards the completion of the program;
- Comply with state and federal record retention laws, rules and regulations for audit purposes;
- Monitor student progress (Youth selected for and receiving ETV awards must make satisfactory progress toward the completion of the post-secondary educational or vocational training program to remain eligible for an ETV award. Satisfactory progress is determined by the individual educational institution's standards for satisfactory academic progress. The information to be provided by student or school per semester.);
- Send emails to youth reminding them they must reapply every year for ETV funds; and
- Fully liquidate (spend and claim) ETV funds by September 30, of each year of any resulting contract.

4.3.1 Priority Order of Selection for Eligible ETV Participants in 2018-2019

The priority order for selection of eligible ETV participants may change and contractor must look to annual guidance from OCFS on this matter. However, the following priorities will be applied by the contractor in the initial selection of eligible participants for the ETV program from 2018-2019:

- **First priority** will be given to youth who are over the age of 21, but under the age of 23 and who received an ETV award in FFY 2017 and continue to be enrolled in and attending a post-secondary educational or vocational training program at an institution of higher education, and who are making satisfactory progress toward the completion of that program, as determined by the individual educational institution's standards for satisfactory academic progress.
- **Second priority** will be given to any other youth under the age of 21 who received an ETV award in FFY 2017.
- **Third priority** will be given to youth who are 20 years of age and will be 21 years of age by July 1, 2018, and who are enrolled in and attending a post-secondary educational or vocational training program and who are making satisfactory progress toward completion of that program. Such youth would not have received an ETV award in FFY 2017.

- **Fourth priority** will be given to any other eligible youth who are enrolled in and attending a post-secondary educational or vocational training program and are making satisfactory progress toward completion of that program.

4.3.2 Allocation of ETV Funds

The contractor shall award ETV funds consistent with the following:

- The maximum amount a student can receive is the lesser of \$5,000 per year or the total cost of attendance as defined in 20 USCA § 1087; however, the amount each student receives varies. The portion of tuition, fees, on-campus room and board is paid by the ETV Program directly to the institution upon receipt of youth's financial aid documents.
- ETV funds are awarded to eligible applicants for the cost of attendance, as defined in 20 USCA 1087, at an institution of higher education which includes but is not limited to: tuition, books, school supplies, living expenses, and transportation. ETV funds must be sent to the student on a schedule that meets the student's needs.
- No student will receive more than their unmet need as per the institution's published Cost of Attendance (COA) determined by that institution.
- ETV funds cannot supplant other existing funds designated for the same general purpose.
- The contractor must immediately notify the OCFS ETV Program Coordinator, in writing, of funding deficiencies or other discrepancies involved with administering the ETV program.

4.4 Reporting Requirements

The contractor shall provide OCFS with timely and accurate fiscal reports and supporting documentation for ETV funds, and in-kind and administrative funds in compliance with federal reporting requirements and Appendix C of any contract resulting from this procurement.

The contractor shall provide to OCFS the following monthly program reports containing data which includes the following:

- Total number of eligible ETV recipients
- Number of current ETV recipients in each of the priority categories
- Number of first-time ETV recipients
- Number of ETV recipients who previously received an ETV award
- Number of current ETV recipients attending City University of New York and State University of New York schools
- Number of current ETV recipients attending post-secondary educational training programs broken out by one-year, two-year and four-year schools and vocational training programs
- For students enrolled in a 2-year or 4-year undergraduate program, the number of students in first year, second year, third year and beyond
- A total list of ETV recipients by county they lived in when they applied
- Award amounts (for example, how many recipients will/did receive \$0-\$999; \$1,000-\$1,999; and by increments of \$1,000 up to the \$5,000 maximum)

- Categories that funds are being spent on and amount expended for each (i.e., tuition, school supplies, and housing)
- Retention reports providing the number of ETV recipients who have dropped out and the reason they dropped out

Note: All the data listed above must be provided to OCFS in the aggregate and broken out by county of residence of the recipient. In addition, during the contract term the data listed above must be available to OCFS on an ongoing basis as requested by the OCFS program manager.

The Contractor shall provide to OCFS a final annual report within 30 business days of the end of each contract period. The report shall include the following:

- A comprehensive summary of the program, including all the data listed for monthly reports as well as cumulatively for the full contract period;
- A fiscal report by county for the full contract period; and
- An evaluation of the program including program strengths and recommendations for improvement.

4.5 Additional Support Services

The selected contractor must designate at least one staff member to be the point of contact with the ETV recipients to check on their school progress every semester. To monitor the progress of the student the contractor must collect the youths' transcript each semester. Communication with the youth can happen via text, phone, or email. The contractor should also remind the returning students to reapply for funding annually.

Training and technical assistance must be available upon request to OCFS staff and applicants on how to use the ETV portal prior to implementation as well as throughout the entire contract term as requested by OCFS and ETV applicants.

5.0 PROPOSAL REQUIREMENTS

5.1 General

5.1.1 Incurred Costs

Neither New York State nor OCFS shall be liable for any costs incurred by an offerer in the preparation and production of a proposal. Neither New York State nor OCFS shall be liable for any costs incurred for work performed by the offerer under the contract prior to the approval of, an executed contract or future task order by the New York State Office of the State Comptroller (OSC).

5.1.2 Content of Proposals

To be considered responsive, an offerer must submit complete proposals, which are in compliance with all applicable state and federal laws, rules and regulations and satisfy all of the requirements

stated in this RFP. Proposals not conforming to the proposal requirements as specified in this section 5.0 may be rejected as nonconforming. The offerer's proposals must include a statement that the proposal will remain valid for a period of 180 days.

5.1.3 Security, Nondisclosure and Confidentiality Agreement

The content of each bidder's proposal will be held in strict confidence by New York State and OCFS during the bid evaluation process and will not be disclosed except to the evaluation panels, and to the NYS Office of the Attorney General and the NYS Office of the State Comptroller as may be necessary to obtain the approvals of those agencies for the final Contract and except as required by law. The successful bidder's proposal and a copy of the specifications will be made a part of the contract and hence available for public inspection and disclosure.

Public inspection of a proposal is regulated by the Freedom of Information Law (Article 6 of the New York Public Officer's Law, hereinafter "FOIL"). Proposals are presumptively available for public inspection. If this would be unacceptable to bidders, they should apply to the Division of Budget (DOB) for trade secret protection for their bid.

Confidential, trade secret or proprietary materials as defined by the laws of the State of New York must be clearly marked and identified as such upon submission by the bidder. Marking the bid as "confidential" or "proprietary" on its face or in the document header or footer shall not be considered to be sufficient without specific justification as to why disclosure of particular information in the bid would cause substantial injury to the competitive position of the bidder.

Bidders/contractors intending to seek an exemption from disclosure of these materials under the FOIL must request the exemption in writing, setting forth the reasons for the claimed exemption. Acceptance of the claimed materials does not constitute a determination on the exemption request, which determination will be made in accordance with statutory procedures.

The Public Officers' Code of Ethics (Section 74 of the Public Officers Law) sets the standard that no officer or employee of a state agency shall disclose confidential information that he/she acquires during the course of his/her official duties. These standards control the confidentiality of a bidder's proposal unless DOB grants a petition for records access in accordance with the FOIL.

Bidders should be advised that the confidentiality of their proposals is founded upon statute, as described above. A nondisclosure agreement, whether prescribed by DOB or the bidder, would not alter

the rights and responsibilities of either party under the FOIL. Bidders should not include with their bid a nondisclosure agreement for DOB employees, as it would not alter the provisions of the FOIL or the Code of Ethics with respect to the disclosure.

The provisions of the FOIL will also govern the confidentiality of any and all products or services supplied by the successful bidder.

5.2 Overview of Submission Requirements

This section identifies the information that all bidders must include in their proposals to the OCFS.

For the purposes of evaluation, each proposal must be submitted in three parts:

- Part I is the Administrative Proposal
- Part II is the Technical Proposal
- Part III is the Cost Proposal

Each part must be complete in itself for an independent and concurrent evaluation of each part. Cost information is **not** to be included in the Administrative Proposal or the Technical Proposal. Each part must be **sealed separately**.

The requirements for proposal content and format will be enforced. Failure by an offerer to respond to a requirement stated in this RFP may cause the proposal to be found non-responsive and the proposal disqualified.

All proposals and accompanying documentation submitted in response to this RFP will become the property of the State of New York and will not be returned. The content of each bidder's proposal will be held in strict confidence during the bid evaluation process, and no details of any proposal will be discussed outside the evaluation process. The successful bidder's proposal and the RFP will be made part of the contract. Therefore, only an individual who is authorized to legally bind the offerer may sign the proposal.

5.3 Part I - Administrative Proposal

Each offerer's administrative proposal must include the following documentation:

5.3.1 General Proposal Appearance

A. Binding of Proposal

The Administrative Proposal must be separately bound and submitted in a separately sealed envelope. Each offerer will submit its proposal so that update pages can be easily incorporated into the original proposal. The legal name of the organization must appear on the outside front cover of each copy.

B. Table of Contents

The Administrative Proposal must contain a table of contents. It is through this table of contents that OCFS will evaluate conformance to uniform proposal content and format.

C. Index Tabs

The Administrative Proposal must have all major sections labeled with index tabs that completely identify the titles of the major sections as they are named in the table of contents.

D. Page Numbering

Each page of the Administrative Proposal must be numbered consecutively from the beginning of the respective proposal through all appended material. Consecutive numbering of pages within consecutively number sections of the proposal is an acceptable alternative.

5.3.2 Proposal Content

The following is a list of required forms and documents that must be included in the Administrative Proposal. Most can be accessed electronically throughout this RFP and via the links below. Those available only in hard copy are identified and attached in this section of the RFP.

The forms in the financial proposal are designed to facilitate proposal evaluation and to standardize responses to this RFP. The offerer must submit them in their proposal to streamline the evaluation process.

Required with bid submission:

- A. [Appendix A-3, Federal Assurances and Certifications](#)
- B. [OCFS-2633, MacBride Fair Employment Principles Certification Form](#)
- C. [OCFS-2634, Non-Collusive Bidding Certification](#) (Required by Section 139d of the State Finance Law.)
- D. For complete proposal and contract or MOU requirements for the Minority-and-Women-Owned Business Enterprises (MWBE) and Equal Employment Opportunity (EEO) requirements, refer to section 7.6. The following are forms to be completed and submitted with your Administrative Proposal and can be found [here](#).
 - **OCFS-4629, Project Staffing Plan Form**
 - **OCFS-3460, Minority and Women-Owned Business Enterprises (MWBE) Equal Employment Opportunity (EEO) Policy Statement**
 - **OCFS-4631, MWBE Utilization Plan Form**

E. Additional Submission Requirements:

- [OCFS-4822, Procurement Lobbying Act – Offerer Certification Form](#)
- [AC-3271-S, New York State Consultant Services – Contractor's Planned Employment](#) (See **section 7.15** for more information)
- [OCFS-2647, EO 177 Certification](#) (See **section 7.23** for more information.)
- Vendor Responsibility Questionnaire (See **section 3.2** for questionnaire and more information.)
- Offerers must submit as applicable:
 1. Organizational Chart – All Offerers
 2. [Board of Directors Profile](#) (OCFS-4552) – Non-Profit Offerers
 3. IRS 501(c) determination letter– Non-Profit Offerers
 4. Most recent IRS 990 or statement documenting the date the organization was established and date first filing is due or proof of exemption – Non-Profit Offerers.
 5. Most recent CPA Review, Independent Audit or A133 Audit and any material findings. If none of these exist, submit a document with its budgets for the current and most recent fiscal year – Non-Profit Offerers.
 6. Most recent Annual Filing for Charitable Organizations (CHAR500) or Exemption Documentation from the NYS charities Bureau. If the organization has not yet filed its first CHAR500, please submit the Registration Statement for Charitable Organizations (CHAR410) – Non-Profit Offerers.
- Offerers must list and clearly explain any and all exceptions and/or caveats to any item contained in this RFP or sample contract language. All exceptions and/or caveats included in the proposal will be reviewed and only those of a non-substantive nature will be considered for inclusion in a final contract. Those of a substantive nature will need to be rescinded in writing by the offerer or the proposal will be disqualified as non-conforming. No exceptions or caveats will be accepted for contract terms contained in Appendix A, “Standard Clauses for New York State Contracts.”

5.4 Part II - Technical Proposal (80 points) (Amended 8/3/18 and 8/9/18)

Each offerer's technical proposal must include separate responses to the following requirements. Such responses shall be placed in the technical proposal in the same order as shown below. The responses shall cite clearly the RFP section # of the appropriate proposal response requirement.

5.4.1 General Proposal Appearance

A. Binding of Proposal

The Technical Proposal must be separately bound and submitted in a separately sealed envelope. Each offerer will submit its technical proposal so that update pages can be easily incorporated into the

original proposal. The legal name of the organization must appear on the outside front cover of each copy.

B. Table of Contents

The Technical Proposal must contain a table of contents. It is through this table of contents that OCFS will evaluate conformance to uniform proposal content and format requirements.

C. Index Tabs

The Technical Proposal must have all major sections labeled with index tabs that completely identify the titles of the major sections as they are named in the table of contents.

D. Page Numbering

Each page of the Technical Proposal and the Cost Proposal must be numbered consecutively from the beginning of the respective proposal through all appended material. Consecutive numbering of pages within consecutively number sections of the proposal is an acceptable alternative.

5.4.2 Proposal Content

Proposal Section 1 - *Executive Summary*

The executive summary should contain, at a minimum, the following information:

- A. Name, address, phone and fax numbers, and email address as well as designating the individual authorized to negotiate on behalf of and bind the contractor to any contract that might result from this RFP
- B. All subcontractors to be utilized, the amount projected to be paid to the subcontractor over the term of the contract or MOU, and the subcontractor's responsibilities. See Section 3.2 Vendor Responsibility Requirements for subcontractor requirements
- C. The offerer's understanding of the requirements presented in the RFP, the offerer's approach and how the offerer can assist in accomplishing this effort

Proposal Section 2- *Minimum Qualifications to Propose* (Amended 8/9/18)

- A. For not-for-profit organizations, OCFS will only contract with organizations whose governing board of directors includes a minimum of three (3) members.

Response Requirement: The offerer must submit a list of all active board members and minutes from the last three board of directors' meetings.

- B. The offerer must be registered to do business in NYS (except for public agencies).

Response Requirement: The offerer must provide documentation that the offerer is authorized to do business in NYS.

- C. Eligible Offerers must *have* provided to a governmental agency, **or demonstrated the capacity to provide on a similar scale,** an *internet portal with the capacity to accommodate at least 800 online applicants.*
- D. The vendor must have a minimum of five years of experience **providing, or demonstrated the capacity of providing on a similar scale, providing** the services listed in **Section 4.0 Scope of Work** to at least 800 youth for any governmental agency.

Response Requirement for B. and C. above: The offerer must submit a client list of governmental agencies to whom the vendor has provided the services described in **Section 4.0, Scope of Work** and document a minimum of five years' experience and the number of youth served by the online portal. **Offerers applying under the minimum qualification of demonstrated capacity to provide on a similar scale an internet portal and demonstrated capacity of providing on a similar scale the services listed in Section 4.0 Scope of Work must submit a client list of the governmental agencies to whom the vendor has provided services to, including a description of the services provided and document the number of years' experience and the number of youth served by the online portal. All** Offerers must include the name of the governmental agency and contact information (name, phone number, email) for the point of contact at the agency.

Proposal Section 3- Detailed Requirements (80 points)

A. Experience

- **Response Requirement (15 points):** This section should include the offerer's experience providing the services required in section 4.0.

- **Response Requirement (10 points):** The offerer's submitted client listing of governmental agencies referenced in Proposal Section 2 Minimum Qualifications will be used to contact clients. Responses to questions will be scored.

B. Workplan (Amended 8/9/18)

Response Requirement (15 points): Provide a detailed workplan description **including timelines** of how the organization will comply with all requirements detailed as services, responsibilities and requirements under section 4.0 of this RFP to include:

- The contractor's ability to provide an online portal for OCFS and participant use;
- The contractor's ability to provide required administrative services for the NYS ETV program;
- The contractor's method for awarding ETV funding in accordance with applicable state and federal laws, rules, regulations, guidelines and policies;
- The contractor's method for confirming enrollment of youth as described in section 4.0 of this RFP;
- The contractor's method for confirming ETV awardees are making satisfactory progress toward the completion of the post-secondary educational or vocational program in which they are enrolled in accordance with section 4.0 of this RFP;
- The method used to maintain confidentiality of records in accordance with state and federal laws;
- The contractor's method for awarding funding to eligible youth and inform the youth of such award;
- The contractor's method for collecting student award letters from College Financial Aid Offices in accordance with the requirements of the Higher Education Act and other applicable laws, rules and regulations;
- The contractor's method for monitoring the academic progress of youth receiving ETV funds and notify OCFS
 - immediately if awardees of ETV funds are not properly enrolled in an institution of higher education
 - immediately if a youth is not making satisfactory progress toward the completion of the post-secondary educational or vocational program in which he or she is enrolled
 - immediately if there is any other change that impacts youth ability to continue to receive funding or be eligible for funding in future years.

- The contractor's method to perform all necessary work to ensure full operation of the ETV program effective 2/1/2019.

C. Staffing

Response Requirement (15 points): Submit your staffing plan identifying the names of the staff who will be assigned to work on the contract, their titles, qualifications, and a detailed description of tasks and duties. If the staff are not currently onboard, provide the plan including the qualifications that will be used to hire the staff. Include a description of which personnel will be assigned to maintain ongoing contact with the students, disbursing funds, maintain the internet portal, and assist OCFS staff with training, technical assistance and IT support.

D. System Specifications (Amended 8/3/18)

Response Requirement (25 points): Provide a detailed electronic or paper user guide with screen shots of the system, **or proposed system**, that show, at a minimum, all of the required system components/requirements included in sections 4.0 and 4.2 of this RFP and provide a web address, **if one exists**, that allows evaluator access to your system or test system environment to navigate to evaluate that, at a minimum, the system, **or proposed system**, meets the RFP requirements.

The following components must be detailed or shown in the response:

- The system's capability to request, receive, maintain and report on all data required to make eligibility and funding determinations, the method to track an applicant's status and include all functionality required to process ETV applications.
- The system's capability to allow up to five OCFS ETV program coordinators to have access to the online portal. The access must allow OCFS users to access the information detailed below. Each OCFS user must be assigned a unique user name and password.
- An automated system and application form that supports the administration of the program.
- The system's capability to support online applications. Applicants must be able to apply for ETV using the online application form developed by the contractor.
- The system's capability to allow up to five OCFS program coordinators review and download a report listing all students, their eligibility, application status, ETV awards, proof of eligibility documentation provided by the students and educational institutions.

The response must show how the online application in the system will collect the information below:

- Student's full name, any previous names, date of birth, gender, ethnic background, Native American tribe and language
- Student's contact information (email, phone, mailing address)
- Student's emergency contact information
- Family information (e.g., is student a parent, expecting, single, married)
- Name of agency student worked with prior to leaving foster care;
- Student employment/volunteer information
- Student Medicaid insurance information (yes/no)
- Current educational status
- College/Vocational school information (i.e., the name of the post-secondary educational or vocational training program the youth is currently enrolled in and/or attending, which priority is applicable to the youth, and the amount of funds being requested for costs of attending a post -secondary educational or vocational training program)
- Foster care (FC) information (including, but not limited to: whether student was ever in care and if so at what age were they initially in FC placement, adoption date if applicable, whether student is currently in care and if so in what state and county, guardianship placement date if applicable, whether they were in care in a different state.)
- Whether or not youth were placed in permanent legal guardianship from foster care after the age of 16
- Financial aid information
- E-Signature page
- Information Release Agreement (student, or legal guardian for those under age of consent, agreement to give the contractor permission to discuss financial aid status and funding with social worker/caseworker and college financial aid office/bursar.)

5.5 Part III - Cost Proposal (20 points) (Amended 8/9/18)

The information requested will be held in confidence and will not be revealed to or discussed with other bidders, except as required by applicable laws, rules and regulations. The offerer's cost proposal must include:

- A transmittal letter signed by an individual who is authorized to legally bind the offerer in a contract.
- A statement that the proposal remains valid for a minimum of 180 days from the proposal due date.
- A completed and signed **Attachment A – Cost Proposal - Non-Grant Budget Template**. The Cost Proposal shall comply with the mandatory format and content requirements as detailed below, and in this RFP. Failure to comply with the mandatory format and content requirements may result in disqualification.

Use the following directions to briefly describe the expenses included in each budget category. The detail requested is essential to expedite the contract process. Accuracy and completeness are critical.

Please note that the available budget for administrative operational expenses and any transition costs (which can only be operational expenses) cannot exceed ~~40~~ **nine (9)** percent of the award, **or \$187,485**.

The offerer's cost proposal must ensure that:

- The cost of items are described in the budget narrative and for every line item of expense, the specific calculations for determining the total cost of each item is included in the narrative.
- All items covered by OCFS funds are directly related to the provision of services indicated in the proposal.
- All expenses are incurred *within* the contract period.
- All shared costs are prorated and the basis of the proration explained.
- Reimbursement for travel, lodging and mileage costs do not exceed the State rates currently in effect.
- All amounts listed on the budget summary form reconcile with the relevant budget narrative information.

Non-Allowable Costs

The following items *cannot be included* as OCFS funded costs within the project budget:

- Major capital expenditures such as acquisition, construction or structural renovation of facilities
- Interest costs, including costs incurred to borrow funds
- Costs for preparation of continuation agreements and other proposal development costs
- Costs of organized fundraising.
- Legal fees to represent agency/staff
- Advertising costs, except for recruitment of project personnel, program outreach and recruitment of participants
- Entertainment costs, including social activities for program and staff, unless directly associated with the project
- Costs for dues, attendance at conferences or meetings of professional organizations, unless attendance is necessary in connection with the project

Local Match – None, OCFS will provide the match.

A. Personal Services

1. Personal Services - Personnel

Personal Services (Salaries):

- List only staff positions included in the funded project.
- List the percentage of time each staff member will spend on this project.
- The percentage of time an employee is engaged in this project (or projects) cannot exceed 100 percent.
- List the base (annual) salary for each staff position. The base salary should reflect the employee's actual annual salary. The annual salary should be consistent across all projects that the employee's time is charged to.
- If a position has both administrative and programmatic responsibilities, show the position on two lines, one for programmatic responsibilities with associated percentage of time and one for administrative responsibilities with associated percentage of time. Identify administrative positions in the "Personal Narrative." The percentage of time for the position cannot exceed 100 percent.
- If the proposed project is currently operational, provide information on the percentage of salary increases, if any, included in the requested budget. Justification for raises must be provided. If you anticipate cost of living or merit raises during the contract year, include the increases in the base annual salary charged to the project and note the effective date of the raise.

Personal Services Salary Narrative: Give a brief description of the administrative or program related responsibilities of each staff position supported by the OCFS funds.

2. Personal Services – Fringe Benefits

Fringe benefits should be budgeted in line with your organization's policy. The total fringe benefits chargeable to this contract should not exceed the current approved fringe rate, which can be found on the NYS Office of the State Comptroller's website at [Fringe Benefits](#). A higher rate may be considered with justification; any such justification must be included with your application.

Fringe Benefits Narrative:

- List the fringe benefit rate(s) and the positions to which the rate(s) apply.
- Provide a complete list of benefits used to calculate rate(s) (e.g., Social Security-FICA, NYS Unemployment Insurance-SUI; NYS Disability Insurance and Worker's Compensation). These can be listed on the extra lines under "Personal Services." Be sure to clearly identify "Fringe."

Non-Personal Services (NPS)

Use of MWBEs for Discretionary Purchases

Contractors are required to spend thirty percent of their discretionary budget through a NYS certified Minority or Women-Owned Business Enterprise (MWBE) in compliance with Article 15-A of the NYS Executive Law. As your organization develops its NPS budget for this contract, you must identify the

discretionary purchases that are subject to the MWBE goal (See **Section 7.10** for more information.) The following NPS budget categories are subject to the MWBE goal:

NPS Budget Category	MWBE Goal
1. Contractual/Consultant	Discretionary expenses in this category subject to MWBE goal
2. Travel	Non-discretionary - exempt
3. Equipment	Discretionary expenses in this category subject to MWBE goal
4. Supplies	Discretionary expenses in this category subject to MWBE goal
5. Other Expenses	
a. Space/Property (Own)	Non-discretionary - exempt
b. Utilities	Non-discretionary - exempt
c. Operating Expenses	Discretionary expenses in this category subject to MWBE goal
d. Printing Services	Discretionary expenses in this category subject to MWBE goal
e. Other Expenses/Miscellaneous	Discretionary expenses in this category subject to MWBE goal
f. Other Expenses/Miscellaneous – Administrative Expense	Non-discretionary - exempt

Use the DISCRETIONARY BUDGET NARRATIVE WORKSHEET of Attachment B – BUDGET SUMMARY to specify the portion of your NPS budget subject to the MWBE spending goal.

- Provide an explanation for all items excluded from the MWBE spending goal.
- Calculate the amount of the MWBE participation goal to be met based on this total discretionary NPS;

Please Note: Attachment B is on a separate page accompanying this RFP.

NPS Budget Categories

All budget items must be for commodities to be purchased during the contract period that in direct support of services related to the project; or for contractual/consultant services to be rendered during the contract period that directly support the project.

1. Contractual/Consultant Services

This category includes costs for institutions, individuals or organizations external to the agency.

- Specify the services to be provided and indicate how the cost was determined.

- Delineate between administrative and program cost.
- If an award is made, the contractor must get prior written approval from OCFS for any agreement, or series of agreements, with a single subcontractor that exceeds \$50,000 or 50 percent of the total contract value during the contract term. The contractor must receive such approval prior to executing the subcontract agreement, implementing any activity under its term or expending contract funds under its term. Prior approval is also required for any cost or term amendment to approved subcontracts or as otherwise requested by OCFS. All subcontract agreements, regardless of dollar value, must be submitted to OCFS prior to claim for services being submitted.
- For office or other program space rental or lease include copy of rental or lease agreement and method of cost allocation of space.
- For equipment rentals:
 - Clearly describe item(s).
 - Include model # and specifications if possible.
 - Indicate term and rate of rental.
 - Provide a justification for the rental of all equipment by giving a brief description of the program related need supported by OCFS funds.
- Vehicle lease for participant travel, when such travel has been approved by OCFS, must be programmatically justified. A copy of the lease agreement must be provided to OCFS prior to claim payment. Also, the OCFS share of travel expense must be based upon state guidelines; payment cannot exceed the state rates currently in effect.
 - Explain the purpose of the travel.
 - Number of participants.
 - Estimated miles.
 - Frequency of travel (e.g., per day, per week).
 - Be as clear as possible in explanation of need and cost.
 - Show the percentage of time the vehicle will be used by the project and only include requested funds for this percentage.
- If a subcontractor or consultant expense is more than \$15,000, three written bids are required. If you are unable to obtain three written bids, a justification as to price reasonableness is required. If other than the lowest bidder was selected, please provide justification.
- If the consultant/contractor is reimbursed at an hourly rate, the hourly rate and the number of hours must be calculated accurately and be included in the budget narrative.
- Indicate whether consultant's rate includes travel and lodging.

2. Travel

- Travel costs include the following: air, train, bus and taxi fare; personal auto, parking fees, tolls, lodging and meals. Conference fees or outside training costs for staff to attend that are integral and essential part of this particular program and necessary in connection with the project to be funded.
- Explain which staff will be traveling and the destination, purpose, and frequency of travel.

- For local/day travel and extended travel, list the following for each trip: destination, length of stay, purpose, number of travelers, mode of transportation and its cost, meals and lodging costs.
- Includes staff travel only.
 - Consultant travel should be shown under the “Contractual/Consultant Services” category.
 - Client travel should be shown under the “Other Expenses” category.
- Reimbursement for travel, lodging, and mileage costs will not exceed the state rates then in effect.

NOTE: The OCFS share of travel expense must be based upon state guidelines; payment cannot exceed the state rates currently in effect. Refer to <http://www.osc.state.ny.us/agencies/travel/travel.htm>

- All out of state travel must be pre-approved by OCFS.

3. Equipment

This section is used to itemize the purchase of equipment.

- Equipment is defined as tangible personal property having an acquisition value of \$5,000 or more per unit.
- Obtain three written bids for any single item. If a bidder other than the low bidder is selected, a statement must be submitted explaining why that vendor was selected.
- Any budget requests for equipment purchase using OCFS funds must be fully explained and justified by program need. Note that equipment purchases are generally not allowed for a contract with a term of 12-months or less.
- Delineate between administrative and program costs.
- If the item is to be used by more than one program, the cost must be prorated.
- Explain the program function and need for each item. Be as specific as possible.
- Clearly describe each item, including type and cost.
- Vehicles cannot be purchased. They may be leased if required for program operation. If vehicles are leased, the costs must be listed under the “Contractual/Consultant Services” section of the

Equipment Narrative: Give a brief description of the program related equipment supported by OCFS funds. Include basis of allocation of costs between programs, if applicable.

4. Supplies

- List major supply items (used for office, program, janitorial, etc.).
- Supplies are defined as tangible personal property (including computers, computer equipment, tables, etc.) having an acquisition value of less than \$5,000 per unit. Obtain three written bids for any single item costing over \$2,500. Obtain three written or verbal quotes for any single item costing

\$2,500 or less. If a bidder other than the low bidder is selected, a statement must be submitted explaining why that vendor was selected.

- Delineate between administrative and program items.
- Describe items to be purchased and provide details showing how estimated costs were developed.
- Justify these costs in terms of number of staff and programmatic functions, and how the request relates to service provision.

5. Other Expenses

“Other Expenses” are costs that do not fall under the previous budget categories. Examples are occupancy costs for owned buildings, utilities, operation expenses, printing services, allowable administrative overhead, and other miscellaneous expenses.

a. Space/Property (Own)

If the contractor owns the building, they must charge occupancy costs other than rental costs. Occupancy costs must be true costs made to a third party, for example, mortgage payment (exclusive of property/school taxes), cleaning costs, snow removal and general maintenance.

- Provide description of space.
- Provide justification.
- Provide itemization of total costs.
- Provide method of cost allocation of space

Space/Property Own Narrative: Provide a detailed explanation of all space and property costs supported by OCFS funds.

b. Utilities

Provide a budget line for each utility cost. This may include electric, heat, telephone, other communication services and internet.

Utilities Narrative: Provide a detailed explanation of all utility costs and how costs here are allocated to this project.

c. Operating Expenses

This section is used to itemize costs associated with the operation of the program, including but not limited to, insurance, bonding, photocopying and advertising. Provide a budget line for each item.

Operating Expenses Narrative: Provide a detailed explanation of each operating expense and how costs here are allocated to this project.

d. Printing Services

- All agencies and subcontractors must make reasonable efforts to secure the lowest responsible bidder for printing services.
- In instances where a printing job is in excess of \$5,000, documentation of three (3) telephone bids is required showing that the lowest cost source has been used. This information must be provided with the *payment* claim. The state strongly encourages the participation and utilization of minority and women-owned printing firms.
- Program materials printed using these funds must be pre-approved by OCFS.

Printing Services Narrative: Provide a detailed explanation of all printing expenses.

e. Miscellaneous Expenses

- Food and refreshments are not allowable expenses for staff.
- Include items that are not applicable under any other category and that are directly related to the services to be provided.
- These items may include postage, client travel, shipping, delivery and messenger services audio-visual services, (see note below for more specific instructions), materials, development costs, advertising costs for recruiting new hires, books, journals, periodicals, computer time and library services.
- Information on these costs, including how the estimates were calculated (e.g., cost per hour, cost per page, cost per square foot, etc.) should be provided in the budget narrative.
- Delineate between administrative and program items.
- Itemize any additional miscellaneous expenses that are allowed for this project that do not fall under any other budget category.

Miscellaneous Expenses Narrative: Provide a detailed explanation of each miscellaneous expense.

f. Administrative Expense (Amended 8/9/18)

This category cannot include any items directly charged in other budget categories. Include the base on which the administrative expense will be charged.

Please note that for this award, indirect costs cannot exceed 9% of the anticipated available funding for operational costs which is anticipated to be \$187,485.

A. For Federally Funded Awards

For Federally funded contracts (includes any contract supported in whole or in part with federal funds):

- OCFS will reimburse the federally approved indirect cost rate for federally funded contracts up to any statutory caps required by the funding streams and in accordance with the terms and conditions of the federal award. A copy of the federally approved indirect cost agreement, with narrative, addendum, and an expiration date must be submitted as part of the proposal.
- If your agency does not have a federally approved indirect cost agreement, and your agency is a non-Federal entity that has never received a negotiated indirect cost rate, except for a governmental department or agency unit that receives more than \$35 million in direct Federal funding, you may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC) or you may elect to follow the rules for State Funded Awards in 2, if applicable, below. Please see federal regulations at 2 CFR 200.414(f) for the applicable legal requirements for this option.
- MTDC means all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward. MTDC excludes equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of \$25,000.
- Use of a federally approved indirect cost rate, or the de minimis rate, as described above, if applicable, must be in accordance with all applicable federal rules to include 2 CFR Part 200.

All costs claimed under the contract must be directly attributable to the project. State Finance Law and Generally Accepted Accounting Principles require that any expense incurred over more than one funding source or program must be charged proportionately, and the method of allocation must be documented.

B. For State Funded Awards ~~(not applicable)~~

Total administrative costs are limited to 15 percent of the total grant award.

- Total administrative expenses are limited to 15 percent of the grant award, less expenses for equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subcontract in excess of \$25,000.
- Administrative expenses include, but are not limited to
 - that portion of the salaries and benefits of staff performing administrative and coordination functions that cannot be attributed to particular program services, including but not limited

to the executive director or chief executive officer, financial officers such as the chief financial officer or controller and accounting personnel, billing, claiming or accounts payable and receivable personnel, human resources personnel, public relations personnel, administrative office support personnel, and information technology personnel, where such expenses cannot be attributed directly to the provision of program services;

- that portion of expenses for office operations that cannot be attributed directly to the provision of program services, including telephones, computer systems and networks, professional and organizational dues, licenses, permits, subscriptions, publications, audit services, postage, office supplies, conference expenses, publicity and annual reports, insurance premiums, equipment that is expensed (rather than depreciated) in cost reports, where such expenses cannot be attributed directly to the provision of program services; and
 - up to the first \$25,000 of each subcontract.
- Indirect costs are considered in the total administrative costs for this project (indirect cost plus any directly charged administrative personnel, related fringes and non-personal services).
 - Some common methods of allocating indirect costs are based upon time, space, units of service or percentage of funding.
 - All administrative costs must be individually identified

All costs included in the direct cost categories must be directly attributable to the project. State Finance Law and Generally Accepted Accounting Principles require that any expense incurred over more than one funding source or program must be charged proportionately, and the method of allocation must be documented.

6. Discretionary Budget Narrative Worksheet

On this worksheet (Attachment B – Budget Summary) you record the total amount of your discretionary budget that will be spent through a NYS certified Minority or Women-Owned Business Enterprise (MWBE). The total budget amount for budget categories that are considered discretionary are recorded on in the “Total OCFS Funds” column of this worksheet as you complete the other parts of the budget.

- In the right-hand column, enter the “Total Discretionary Funds” that are subject to the MWBE spending goal.
- For any category where the “Total Discretionary Funds” is less than the “Total OCFS Funds,” provide an explanation in the appropriate category of the amount excluded and why it is non-discretionary.
- The amount that you must spend with an MWBE will automatically be calculated.

5.6 Submission of Proposals

- A. Proposals must be signed by an individual who is authorized to legally bind the offerer to the provisions of the proposal.
- B. Offerers mailing their responses must allow sufficient mail delivery time to ensure that OCFS receives their proposal within the time frames indicated in **Section 1.2 Calendar of Events**.
- C. The Administrative Proposal, Technical Proposal and the Cost Proposal must each be separately bound and submitted in separately sealed envelopes. Each offerer must submit its proposal so that update pages may be easily incorporated into the original proposal. The following information must appear on the outside front cover of each copy and displayed on the exterior of the packaging:
- Offerer's legal name and address
 - NYS Education Training Voucher Program - RFP#2018-13
 - Administrative Proposal, Technical Proposal or Cost Proposal
 - Date
- D. Bidders assume all risks for timely, properly submitted delivery of proposals. Bidders are strongly encouraged to arrange for delivery of proposals to OCFS prior to the proposal due date referenced in **Section 1.2 Calendar of Events**. LATE PROPOSALS will not be accepted. Email bid submissions are not acceptable and will not be considered.
- E. Mail or deliver proposals to:

Director of Contracts
Proposal for RFP#2018-13, NYS ETV
New York State Office of Children and Family Services
52 Washington Street
Room 202S – RFP Unit
Rensselaer, NY 12144

- **Four** hard copies of the Administrative Proposal and one electronic copy on a flash drive (in pdf format – must be exact replica of hard copy, including signatures).
- **Four** hard copies of the Technical Proposal and one electronic copy on a flash drive (in pdf format – must be exact replica of hard copy, including signatures).
- **Two** hard copies of the Cost Proposal and one electronic copy on a flash drive (in pdf format – must be exact replica of hard copy, including signatures)

Proposals must be received by the due date and time identified in **Section 1.2 Calendar of Events**

- F. By submitting a proposal, the bidder warrants that it has carefully reviewed the needs of the state (as described in this RFP, its attachments and other communications related to this RFP), has familiarized itself with the specifications and requirements of this RFP and warrants that it can provide such products and services as represented in bidder's proposal. The bidder agrees that it will perform all of its obligations should it be awarded a contract in accordance with all applicable federal, state, and local laws, rules, regulations and policies that are now or hereafter in effect and any revisions of such laws, rules, regulations and policies. The bidder affirms that the terms of this RFP do not violate any contracts or agreements to which the bidder is a party, and that its other contractual obligations will not adversely influence its capabilities to perform the scope of work and requirements of this RFP.
- G. All proposals and accompanying documentation become the property of the state and will not be returned.
- H. Receipt of proposals as a general rule, late proposals cannot be accepted. However, if permitted by agency policy and if no timely and responsive proposals are received, a late proposal may be accepted. The agency must certify that proposals were received in accordance with the RFP.

6.0 EVALUATION AND SELECTION METHODOLOGY

6.1 General Information

OCFS will evaluate each proposal based on the "Best Value" concept. This means that the proposal that best "optimizes quality, cost, and efficiency among responsive and responsible offerers" shall be selected for award (New York State Finance Law, Article 11, §163[1][j]).

OCFS, at its sole discretion, will determine which proposal(s) best satisfies its requirements. OCFS reserves all rights with respect to the award. All proposals deemed to be responsive to the requirements of this procurement will be evaluated and scored for technical qualities and cost. Proposals failing to meet the requirements of this document may be eliminated from consideration. The evaluation process will include separate technical and cost evaluations, and the result of each evaluation shall remain confidential until both evaluations have been completed and a selection of the winning proposal is made.

The evaluation process will be conducted in a comprehensive and impartial manner, as set forth herein, by an evaluation committee. The Technical Proposal and compliance with other RFP requirements (other than the Cost Proposal) will be weighted **80%** of a proposal's total score and the information contained in the Cost Proposal will be weighted **20%** of a proposal's total score.

Proposers may be requested by OCFS to clarify the contents of their

proposals. Other than to provide such information as may be requested by OCFS to clarify information contained in the proposal, no proposer will be allowed to alter its proposal or add information after the deadline for submission of proposals.

6.2 Submission Review

OCFS will examine all proposals that are received in a proper and timely manner to determine if they meet the proposal submission requirements, as described in **Section 5.0 Proposal Requirements**, and include the proper documentation. Proposals that are materially deficient in meeting the submission requirements or have omitted material documents, may be rejected.

6.3 Technical Evaluation

The evaluation process will be conducted in a comprehensive and impartial manner. A technical evaluation committee comprised of program staff of OCFS, and other evaluators as deemed appropriate by OCFS, will review and evaluate all proposals.

All proposals will undergo a preliminary technical evaluation to verify Minimum Qualifications to Propose (**Section 3.0**). Proposals not meeting the Minimum Qualifications to Propose will be removed from further consideration.

The technical evaluation committee members will independently score each Technical Proposal that meets the submission requirements of this RFP. The individual committee member scores will be averaged to calculate the Technical Score for each responsive proposer.

6.4 Cost Evaluation

The cost evaluation committee will examine the cost proposal documents. The cost proposals will be opened and reviewed for responsiveness to cost requirements. If a cost proposal is found to be non-responsive, that proposal may not receive a cost score and may be eliminated from consideration.

Each proposal that meets the submission requirements, passes the preliminary evaluation, and meets the cost proposal requirements will receive a cost score. The cost proposals will be scored based on a maximum cost score of **20** points. The maximum cost score will be allocated to the proposal with the lowest all-inclusive not-to-exceed maximum price, exclusive of student voucher payments. All other responsive proposals will receive a proportionate score based on the relation of their Cost Proposal to the proposals offered at the lowest final cost, using this formula:

Cost points awarded = **20** potential points x (Lowest Cost Proposal / Cost of Proposal Being Evaluated).

The cost evaluation is 20 percent (up to 20 points) of the final score.

6.5 Preliminary Composite Score

A preliminary composite score will be calculated by OCFS's RFP Unit by adding the preliminary Technical Proposal points and the Cost Proposal points. Finalists will be determined based on preliminary composite scores.

6.6 Interviews

Not Applicable.

6.7 Client Listing

The offerer's submitted client listing of governmental agencies referenced in **Section 5.4.2, Proposal Section 2 Minimum Qualifications** will be used to contact clients. Responses to questions will be scored as indicated in **Section 5.4.2, Proposal Section 3, *Detailed Requirements***.

6.8 Final Composite Score

A Final Composite Score will be calculated by the OCFS' RFP Unit by adding the Final Technical Proposal points, reflecting any adjustments that may result from interviews to the Cost Proposal points. Proposals will be ranked by the Final Composite Score, from highest to lowest. In the event of a tie, the bidder with the highest cost score calculated consistent with Section 6.4, Cost Evaluation, will be the winner.

6.9 OCFS Procedure for Handling Debriefing Requests, Formal Protests and Appeals

A. Applicability

The intent and purpose of these procedures is to define the debriefing process, as well as the protest and appeal procedures. This includes the steps that must be taken when an interested party challenges a contract award from OCFS. These procedures shall apply to all contract awards made by OCFS.

B. Definitions

1. "Interested party" shall mean a participant in the procurement process and those whose participation in the procurement process has been foreclosed by OCFS.
2. "Contract award" shall mean a written determination from OCFS to an offerer, indicating that OCFS has accepted the offerer's bid or offer.
3. "Debriefing" is the practice whereby, upon request of a bidder, OCFS reviews with such bidder the reasons its bid was not selected for an

award. OCFS views debriefing as a learning process so that the bidder will be better prepared to participate in future procurements.

4. "Formal Protest" shall mean a written challenge to an OCFS contract award.
5. "Procurement" shall mean any method used to solicit or establish a contract (e.g., invitation for bid, request for proposal, single/sole source, etc.)
6. "Protesting party" is the party who is filing a protest to the bid, contract award or other aspect of procurement.
7. "Formal protest determination" shall mean the determination of a formal protest by the deputy commissioner for financial management of OCFS or his or her designee.
8. "Decision after appeal" shall mean the decision on the appeal of a formal protest by the executive deputy commissioner of OCFS or his or her designee.

C. Debriefing Request

In accordance with section 163 of the NY State Finance Law, OCFS must, upon request, provide a debriefing to any unsuccessful offerer that responded to the RFP, regarding the reasons that the proposal or bid submitted by the unsuccessful offerer was not selected for an award.

1. OCFS will provide notice in writing or electronically to all unsuccessful offerers that the offerer will not receive a funded award under the RFP. An unsuccessful offerer wanting a debriefing must request a debriefing in writing, within fifteen calendar days of receipt of the notice from OCFS that the offerer's proposal did not result in an award.
2. OCFS, upon receipt of a timely written request from the unsuccessful offerer, will schedule the debriefing to occur within a reasonable period of time following the receipt of such request. Debriefings will be conducted in-person, unless OCFS and the offerer mutually agree to utilize other means, including, but not limited to, telephone, video-conferencing or other types of electronic communications.
3. Such debriefing will include: (a) the reasons that the proposal, bid or offer submitted by the unsuccessful offerer was not selected for an award; (b) the qualitative and quantitative analysis employed by OCFS in assessing the relative merits of the proposals, bids or offers; (c) the application of the selection criteria to the unsuccessful offerer's proposal; and (d) when the debriefing is held after the final award, the reasons for the selection of the winning proposal, bid or offer. The debriefing will also provide, to the extent practicable, general advice and guidance to the unsuccessful offerer concerning potential ways that their future proposals, bids or offers could be more responsive.

D. Formal Protest and Appeal Procedure

Any interested party who believes they have been treated unfairly in the application, evaluation, bid award, or contract award phases of the procurement, may present a formal protest to OCFS and request administrative relief concerning such action.

A. Submission of Bid or Award Protests

Formal protests concerning a pending contract award must be received within five (5) business days after the protesting party knows or should have known of the facts that constitute the basis of the formal protest. Formal protests will not be accepted by OCFS concerning a contract award after the contract between OCFS and the offerer who received the contract award has been approved by the NYS Office of the State Comptroller (OSC).

In addition, where a debriefing was requested, a bidder may file a protest within five business days from the debriefing (in addition to the original 10-day window from notice of award).

B. Review and Formal Protest Determination

1. Formal protests must be filed with the OCFS associate commissioner for financial management. Any protests filed with the OCFS program division responsible for the procurement will be forwarded to the associate commissioner for financial management. Copies of all formal protests will be provided by the associate commissioner for financial management to the OCFS Division of Legal Affairs and other necessary parties within OCFS, as determined by the associate commissioner for financial management.
2. Formal protests shall be resolved through written correspondence; however, either the protesting party or OCFS may request a meeting to discuss a formal protest. Where further formal resolution is required, the program division responsible for the procurement may designate a state employee not involved in the procurement ("designee") to determine and undertake the initial attempted resolution or settlement of any formal protest.
3. The OCFS program division responsible for the procurement will conduct a review of the records involved in the formal protest, and provide a memorandum to the associate commissioner for financial management or the associate commissioner's designee summarizing the facts, an analysis of the substance of the protest, and a preliminary recommendation including: (a) an evaluation of the findings and recommendations, (b) the materials presented by the protesting party and/or any materials required of or submitted by other bidders, (c) the

results of any consultation with the OCFS Division of Legal Affairs, and (d) a draft response to the formal protest.

4. The OCFS associate commissioner for financial management or his or her designee shall hear and make a formal protest determination on all formal protests. A copy of the formal protest determination, stating the reason(s) upon which it is based and informing the protesting party of the right to appeal an unfavorable decision to the OCFS executive associate commissioner, shall be sent to the protesting party or its agent within thirty (30) business days of receipt of the formal protest, except that upon notice to the protesting party such period may be extended by OCFS. The formal protest determination will be recorded and included in the procurement record, or otherwise forwarded to the OSC.

C. Appeal of Formal Protest Determination

1. If the protesting party is not satisfied with the formal protest determination, the protesting party **must** submit a written notice of appeal to the executive deputy commissioner of OCFS no more than fifteen (15) business days after the date the formal protest determination is sent to the protesting party.
2. The executive deputy commissioner or his or her designee shall hear and make a decision after appeal on all appeals.
3. An appeal may not introduce new facts unless responding to facts or issues unknown to the protesting party prior to the formal protest determination.

D. Reservation of Rights and Responsibilities of OCFS

1. OCFS reserves the right to waive or extend the time requirements for protest submissions, decisions and appeals herein prescribed when, in its sole judgment, circumstances so warrant to serve the best interests of the state.
2. If OCFS determines that there are compelling circumstances, including the need to proceed immediately with contract award and development of final contracts in the best interests of the state, then these protest procedures may be suspended and such determination shall be documented in the procurement record.
3. OCFS will consider all information relevant to the protest, and may, at its discretion, suspend, modify, or cancel the protested procurement action, including solicitation of bids, or withdraw the recommendation of contract award prior to issuance of a formal protest decision.
4. Unless a determination is made to suspend, modify or cancel the protested procurement action, or withdraw the recommendation of contract award, OCFS will continue procurement and contract award

activity prior to the formal protest determination. The receipt of a formal protest will not otherwise stop action on the procurement and award of the contract(s) or on development of final contracts.

- a. The procurement record and awarded contract(s) will be forwarded to OSC, and a notice of the receipt of a formal protest and any appeal will be included in the procurement record. If a formal protest determination, or a decision after appeal, has been reached prior to transmittal of the procurement record and the contract(s) to OSC, a copy of the formal protest determination or decision after appeal will be included in the procurement record and with the contract(s).
- b. If a formal protest determination or decision after appeal is made after the transmittal of the procurement record and contract(s) to OSC, but prior to OSC approval, a copy of the formal protest determination or decision after appeal will be forwarded to OSC when issued, along with a letter either: a) confirming the original OCFS recommendation for award(s); b) modifying the proposed award recommendation; or c) withdrawing the original award recommendation.

Note: All records related to formal protests and appeals shall be retained for at least one (1) year following resolution of the formal protest. All other records concerning the procurement shall be retained according to the applicable requirements for records retention.

E. Appeal to the Office of the State Comptroller

If the protesting party is still not satisfied with the result of its protest after conclusion of the formal protest and appeal procedure described above, the protesting party *must* file a written appeal with the OSC within ten business days of the date the protesting party received OCFS's protest determination. An appeal to the OSC's Bureau of Contracts must be in writing and must contain the specific factual and/or legal allegations setting forth the basis upon which the protesting party challenges the contract award by OCFS. Such appeal must be filed with the director of the Bureau of Contracts at the NYS Office of the State Comptroller, 110 State Street, 11th Floor, Albany, NY 12236.

7.0 MANDATORY CONTRACTING REQUIREMENTS

7.1 Contract Readiness

It is expected that all offerers awarded a contract will negotiate with New York State in good faith and cooperatively work with OCFS to move from an award to an approved contract prior to the anticipated contract start date that is noted in sections 1.2 and 2.3 of this RFP. It is expected that this process will be expedited and awardees will need to be available and prepared to respond in required timeframes. OCFS may revoke an award made under this RFP, if it determines it is in the best interest of New York

State, if awardees do not meet the timeframes needed for contract development and/or signature to meet the anticipated contract start date.

Prior to submitting a bid, an offerer is responsible for various verifications which validate its capacity and organizational authority to receive public funding and operate a business in New York State. All suppliers of goods and services to New York State must be registered in the New York Statewide Financial System (SFS) Central Vendor Registry file and provide their identification number at the time of contracting. For additional information on the vendor file, contact:
<http://osc.state.ny.us/vendors/epayments.htm>.

7.2 Standard Contract Language

The terms and conditions can be viewed [online by clicking on this link](http://ocfs.ny.gov/main/bcm/Non-Grant-Contract-Template.pdf) <http://ocfs.ny.gov/main/bcm/Non-Grant-Contract-Template.pdf> and are hereby incorporated into this RFP. By applying to this RFP offerers agree that they have reviewed and understand the contract terms and conditions. Contracts awarded under this RFP must be approved by the New York State Office of the Attorney General (OAG) and the New York State Office of the State Comptroller (OSC) before any payments are made on such contracts. Contractor obligations or expenditures prior to the contract start date shall not be reimbursed. Upon contract award and completion of negotiations, OCFS will send successful awardees the complete contract for development and signature prior to submitting it to the OAG and to OSC for approval.

7.3 Contract Management System (CMS)

OCFS has developed a comprehensive, web-based Contract Management System (CMS) providing technology that automates the contract development, claiming, and program reporting process. Vendors awarded contracts under this procurement may develop and electronically sign contracts through CMS. The opportunity to submit claims and program reports online is also available to CMS users. For online contract development and claims processing all vendors are required to include form OCFS-4821, [Contract Management System \(CMS\) Authorization Form](#). In addition to the authorization form, a current organization chart that indicates where the organization head or the chief administrative officer and the contract developers, contract signatories and claim signatories appear in relation to the board of directors and the organization as a whole must be on file with OCFS, and must be included with the proposal.

A description of CMS, including benefits to vendors, follows:

CMS Standardizes the contract development process, automating labor-intensive tasks and providing system edits that reduce common errors.

Interactive budget and contract documents streamline the development process. Intuitive screens provide a user-friendly environment. Online claiming functionality allows for expedited payment of claims through the use of system edits, elimination of mailing time, and consolidation of all supporting documentation in to one easy-to-access location. CMS features will permit vendors to do the following online:

- Develop, manage and electronically sign a contract online.
- Receive alerts and notifications regarding the status of contract approval.
- Permit correspondence between the vendor and OCFS.
- Upload and download contract documents into CMS.
- Process online budget modifications.
- Process online claims including both advances and expenditures.
- Upload supporting documentation for budget modifications and claims.
- Submit program reports online.
- Check the status of contracts and payments.

CMS has no hardware requirements. Minimum computer requirements for participating are simply Internet access, Explorer 6.0 or above and Acrobat Reader 7.0 – 11.0 (CMS is not compatible with Acrobat Rader DC). Acrobat Reader can be obtained free of charge at:

<http://get.adobe.com/reader>.

For Macintosh users, Safari 3.1 or higher is recommended and can be obtained free of charge at:

<http://www.apple.com/support/mac-apps/safari/>.

7.4 Workers Compensation Insurance and Disability Benefits Coverage

Sections 57 and 220 of the Workers' Compensation Law (WCL) and section 142 of the State Finance Law require that businesses contracting with New York State have and maintain and provide evidence of appropriate workers' compensation and disability benefits insurance coverage. In the event that an award is made from this RFP, updated proof of coverage must be provided during contract development. Failure to submit the proof will delay the contract development process, and may result in the award being rescinded. Municipalities are not required to show proof of coverage.

Please note: The ACCORD form is not acceptable proof of Workers' Compensation or Disability Insurance coverage.

A. Proof of Workers' Compensation Coverage

To comply with coverage provisions of the WCL, the Workers' Compensation Board requires that a business seeking to enter into a state contract, or contract renewal, submit appropriate proof of coverage

to the state contracting entity issuing the contract. To prove the awardee has appropriate workers' compensation insurance coverage, submit ONE of the following four forms:

<http://www.wcb.ny.gov/content/main/forms/AllForms.jsp>

- **Form C-105.2** - *Certificate of Workers' Compensation Insurance* issued by private insurance carriers, or **Form U-26.3** issued by the State Insurance Fund; or
- **Form SI-12** - *Certificate of Workers' Compensation Self-Insurance*; or **Form GSI-105.2** *Certificate of Participation in Workers' Compensation Group Self-Insurance*; or
- **CE-200** - *Certificate of Attestation of Exemption from NYS Workers' Compensation and/or Disability Benefits Coverage*.

B. Proof of Disability Benefits Coverage

To comply with coverage provisions of the WCL regarding disability benefits, the Workers' Compensation Board requires that a business seeking to enter into a state contract, or contract renewal, submit appropriate proof of coverage to the state contracting entity issuing the contract. To prove the awardee has appropriate disability benefits insurance coverage, submit ONE of these three forms:

<http://www.wcb.ny.gov/content/main/forms/AllForms.jsp>

- **Form DB-120.1** - *Certificate of Disability Benefits Insurance*; or
- **Form DB-155** - *Certificate of Disability Benefits Self-Insurance*; or
- **CE-200** - *Certificate of Attestation of Exemption from New York State Workers' Compensation and/or disability benefits coverage*.

7.5 Contractor Employee and Volunteer Background/Confidentiality Non-Disclosure Agreement Forms)

OCFS is responsible for maintaining the safety of the youth served by OCFS programs. New York State law requires that any client identifiable information be kept confidential. Any contractor who will provide goods and/or services to a residential facility or any program-operated by OCFS where employees and volunteers have the potential for regular and substantial contact with youth in the care or custody of the commissioner of OCFS or who will be provided with confidential information of recipients served by OCFS programs must require all its employees and volunteers to complete and sign form OCFS-4715, [Confidentiality Non-Disclosure Agreement](#) and form OCFS-4716, [Contractor Employee and Volunteer Background Certification](#). These forms must be completed before any such employees and/or volunteers are permitted access to youth served by OCFS, and/or any financial and/or client identifiable information concerning such youth. **The forms should be completed after the bidder has been awarded funding, during the contract development, as**

directed by OCFS. For additional information see Appendix A-1, **Section 7 Confidentiality and Protection of Human Subjects**, located at the link to a standard contract listed in section 7.2 above.

7.6 Minority- and Women-Owned Business Enterprises (MWBE) – Equal Employment Opportunity (EEO) - Requirements and Procedures

This section outlines contractor requirements and procedures for business participation opportunities for New York State-certified Minority and Women-Owned Business Enterprises (MWBE) and Equal Employment Opportunities (EEO) for minority group members and women. All forms can be found [here](#).

7.6.1 New York State Executive Law (Article 15-A)

Pursuant to New York State Executive Law Article 15-A and Parts 140-145 of Title 5 of the New York Codes, Rules and Regulations OCFS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OCFS contracts.

7.6.2 MWBE Business Participation Opportunities – OCFS Established Goals

For purposes of this solicitation, OCFS hereby establishes an overall goal of **30%** for MWBE participation, **15%** for New York State-certified Minority-owned Business Enterprise (MBE) participation and **15%** for New York State-certified Women-owned Business Enterprise (WBE) participation (based on the current availability of MBEs and WBEs). A contractor (Contractor) on any contract resulting from this procurement (Contract) must document its good faith efforts to provide meaningful participation by MWBEs as subcontractors and suppliers in the performance of the Contract. To that end, by submitting a response to this RFP, the respondent agrees that OCFS may withhold payment pursuant to any Contract awarded as a result of this RFP pending receipt of the required MWBE documentation. The directory of MWBEs can be viewed at: <https://ny.newnycontracts.com>. For guidance on how OCFS will evaluate a Contractor’s “good faith efforts,” refer to 5 NYCRR § 142.8.

7.6.3 Contract Compliance

In accordance with 5 NYCRR § 142.13, the respondent further acknowledges that if it is found to have willfully and intentionally

failed to comply with the MWBE participation goals set forth in a Contract resulting from this RFP, such finding constitutes a breach of contract and OCFS may withhold payment as liquidated damages.

Such liquidated damages shall be calculated as an amount equaling the difference between: (1) all sums identified for payment to MWBEs had the Contractor achieved the contractual MWBE goals; and (2) all sums actually paid to MWBEs for work performed or materials supplied under the Contract.

By submitting a bid or proposal, a respondent agrees to demonstrate its good faith efforts to achieve the applicable MWBE participation goals by submitting evidence thereof through the New York State Contract System (“NYSCS”), which can be viewed at <https://ny.newnycontracts.com>, provided, however, that a respondent may arrange to provide such evidence via a non-electronic method by contacting OCFS.

Additionally, a respondent will be required to submit the following documents and information as evidence of compliance with the foregoing:

- A. An MWBE Utilization Plan with their bid or proposal. Any modifications or changes to an accepted MWBE Utilization Plan after the Contract award and during the term of the Contract must be reported on a revised MWBE Utilization Plan and submitted to OCFS for review and approval.

OCFS will review the submitted MWBE Utilization Plan and advise the respondent of OCFS acceptance or issue a notice of deficiency within 30 days of receipt.

- B. If a notice of deficiency is issued, the respondent will be required to respond to the notice of deficiency within seven (7) business days of receipt by submitting to the OCFS a written remedy in response to the notice of deficiency to mwbeinfo@ocfs.ny.gov. If the written remedy that is submitted is not timely or is found by OCFS to be inadequate, OCFS shall notify the respondent and direct the respondent to submit, within five (5) business days, a request for a partial or total waiver of MWBE participation goals. Failure to file the waiver form in a timely manner may be grounds for disqualification of the bid or proposal.

OCFS may disqualify a respondent as being non-responsive under the following circumstances:

- a) If a respondent fails to submit an MWBE Utilization Plan;
- b) If a respondent fails to submit a written remedy to a notice of deficiency;
- c) If a respondent fails to submit a request for waiver; or

d) If OCFS determines that the respondent has failed to document good faith efforts.

The successful respondent will be required to attempt to utilize, in good faith, any MBE or WBE identified within its MWBE Utilization Plan, during the performance of the Contract. Requests for a partial or total waiver of established goal requirements made subsequent to Contract Award may be made at any time during the term of the Contract to OCFS, but must be made no later than prior to the submission of a request for final payment on the Contract.

The successful respondent will be required to submit a quarterly M/WBE Contractor Compliance & Payment Report to OCFS, by the 10th day following each end of quarter over the term of the Contract documenting the progress made toward achievement of the MWBE goals of the Contract.

7.6.4 Equal Employment Opportunity (EEO) Requirements

By submission of a bid or proposal in response to this solicitation, the respondent agrees with all of the terms and conditions of Appendix A – Standard Clauses for All New York State Contracts including Clause 12 - Equal Employment Opportunities for Minorities and Women]. The respondent is required to ensure that it and any subcontractors awarded a subcontract for the construction, demolition, replacement, major repair, renovation, planning or design of real property and improvements thereon (the "Work"), except where the Work is for the beneficial use of the respondent, undertake or continue programs to ensure that minority group members and women are afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status. For these purposes, equal opportunity shall apply in the areas of recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff, termination, and rates of pay or other forms of compensation. This requirement does not apply to: (i) work, goods, or services unrelated to the Contract; or (ii) employment outside New York State.

The respondent will be required to submit a Minority and Women-owned Business Enterprise and Equal Employment Opportunity Policy Statement, as referenced in **Section 1.6 Submission of Proposals**, to OCFS with its bid or proposal.

If awarded a Contract, respondent shall submit a Workforce Utilization Report and shall require each of its Subcontractors to submit a Workforce Utilization Report, in such format as shall be required by OCFS on a quarterly basis during the term of the Contract.

Pursuant to Executive Order #162, non-grant contractors and subcontractors will also be required to report the gross wages paid to each of their employees for the work performed by such employees on the contract utilizing the Workforce Utilization Report on a quarterly basis.

Further, pursuant to Article 15 of the Executive Law (the "Human Rights Law"), all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor and sub-contractors will not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest.

Please Note: Failure to comply with the foregoing requirements may result in a finding of non-responsiveness, non-responsibility and/or a breach of the Contract, leading to the withholding of funds, suspension or termination of the Contract or such other actions or enforcement proceedings as allowed by the Contract.

7.7 Service-Disabled Veteran-Owned Business (SDVOB)

[*The Service-Disabled Veteran-Owned Business Act*](#), signed into law by Governor Andrew M. Cuomo on May 12, 2014, allows eligible veteran business owners to become certified as a New York State Service-Disabled Veteran-Owned Business (SDVOB) in order to increase the participation of such businesses in New York State's contracting opportunities. The SDVOB Act, which is codified under Article 17-B of the Executive Law, acknowledges that SDVOBs strongly contribute to the economies of the state and the nation. Therefore, and consistent with its Master Goal Plan, OCFS strongly encourages vendors who contract with OCFS to consider the utilization of certified SDVOBs that are responsible and responsive for at least six (6) percent of discretionary non-personnel service spending in the fulfillment of the requirements of their contracts with OCFS. Such partnering may include utilizing certified SDVOBs as subcontractors, suppliers, protégés, or in other supporting roles to the maximum extent practical, and consistent with the legal requirements of the State Finance Law and the Executive Law. Certified SDVOBs may be readily identified through the directory of certified businesses at: [List of Certified NYS Service-Disabled Veteran-Owned Businesses.](#)

For additional information relating to the use of certified SDVOBs in

contract performance, and participation by SDVOBs with respect to state contracts through Set Asides, please refer to the following:

- [Attachment - Use of Service-Disabled Veteran-Owned Business Enterprises in Contract Performance](#)
- [Participation by Service-Disabled Veterans with Respect to State Contracts Through Set Asides](#)
- <http://ogs.ny.gov/Core/SDVOBA.asp>

7.8 Omnibus Procurement Act

The *Omnibus Procurement Act of 1992* requires that by signing a bid proposal, contractors certify that whenever the total bid amount is greater than \$1 million:

1. The contractor has made reasonable efforts to encourage the participation of New York State Business Enterprises as suppliers and subcontractors on this project and has retained the documentation of these efforts to be provided upon request to the State of New York; and has
2. Documented their efforts to encourage the participation of New York state business enterprises as suppliers and subcontractors by showing that they have:
 - Solicited bids, in a timely and adequate manner, from ESD business enterprises, including certified minority/women-owned businesses; or
 - Contacted ESD to obtain listings of New York State business enterprises and MWBEs; or
 - Placed notices for subcontractors and suppliers in newspapers, journals or other trade publications distributed in New York State, or
 - Participated in bidder outreach conferences; and
 - Provided a statement indicating the method by which they determined that New York State business enterprises are not available to participate on the contract as subcontractors or suppliers, *if the contractor has determined such*; and
 - Provided a statement verifying no intention of using subcontractors, *if the contractor has no such intention*.
3. The contractor has complied with the federal *Equal Opportunity Act of 1972* (P.L. 92-961), as amended.
4. The contractor will be required to notify New York State residents of employment opportunities by listing any such positions with the Community Services Division of the New York State Department of Labor, providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements. The

agency agrees to document these efforts and to provide said documentation to OCFS upon request.

5. Bidders located in a foreign country are notified that the state may assign or otherwise transfer offset credits to third parties located in New York State, and the bidders shall be obligated to cooperate with the state in any and all respects in making such assignment or transfer, including, but not limited to, executing any and all documents deemed by the state to be necessary or desirable to effectuate such assignment or transfer and using their best efforts to obtain the recognition and accession to such assignment or transfer by any applicable foreign government.
6. Bidders are hereby notified that state agencies and authorities are prohibited from entering into contracts with businesses whose principle place of business is located in a “discriminatory jurisdiction.” Discriminatory jurisdiction is defined as a state or political subdivision which employs a preference or price distorting mechanism to the detriment of or otherwise discriminates against a New York State business enterprise in the procurement of commodities and services by the same or a nongovernmental entity influenced by the same. A list of discriminatory jurisdictions is maintained by the Commissioner of the New York State Empire State Development Corporation.

7.9 Anti-Kickback Act

The contractor understands that it must comply with federal Executive Order 11246, the Copeland “Anti-Kickback Act” (18 USC 874), section 508 of the federal Clean Air Act, Section 306 of the federal Clean Water Act, and that it must certify that neither it nor its principals are debarred or suspended from federal financial assistance programs and activities and to complete and return in pursuit of such certification any appropriate form required by the state (see federal Executive Order 12549 and 7 CFR Part 3017).

7.10 Contractor Responsibility

In the event the selected offerer’s proposal includes services provided by another firm, it shall be mandatory for the selected offerer to assume full responsibility for the delivery for such items offered in the proposal. Should the selected offerer seek external financing, OCFS reserves the right to approve the assignment of the contract for financing purposes. In any event, OCFS will contract only with an offerer, not the offerer’s financing institution or subcontractors. OCFS shall consider the selected offerer to be the sole responsible contact with regard to all provisions of the contract resulting from this RFP. Should a bidder wish to subcontract its responsibilities under this solicitation, OCFS requires its contractors to obtain approval from OCFS prior to subcontracting.

7.11 Multi-Agency Use

The contract entered into pursuant to an award resulting from this RFP shall contain a provision which grants the option to extend the terms and conditions of such contract to any other state agency in New York State.

7.12 Public Officers Law

All offerers and their employees must be aware of and comply with the requirements of the New York State Public Officers Law, and all other appropriate provisions of New York State law and all resultant codes, rules and regulations from state laws establishing the standards for business and professional activities of state employees and governing the conduct of employees of firms, associations and corporations in business with the state. In signing the proposal, each offerer guarantees knowledge and full compliance with those provisions for any dealings, transactions, sales, contracts, services, offers, relationships, etc. involving the state and/or state employees. Failure to comply with those provisions may result in disqualification from the bidding process and in other civil or criminal proceedings as required by law

http://www.tenant.net/Other_Laws/Pubofc/puboftoc.html.

7.13 Office of Information Technology Services

Prior to award selection, this RFP and all responses thereto may be subject to review by the New York State Office of Information Technology Services.

7.14 New York State Sales and Compensating Use Taxes

Tax Law Section 5-a, which was added to the Tax Law under Part N of Chapter 60 of the Laws of 2004, imposes upon certain contractors the obligation to certify whether or not the contractor and its affiliates are required to register to collect state sales and compensating use tax. Where required to register, the contractor must also certify that it is, in fact, registered with the New York State Department of Taxation and Finance (DTF). The law prohibits the New York State Comptroller, or other approving agency, from approving a contract awarded to an offerer meeting the registration requirements but who is not so registered in accordance with the law.

Pursuant to Tax Law Section 5-a, the contractor, upon award, will be required to complete and sign, under penalty of perjury, the *Contractor Certification* form ([ST-220-TD](#)) and the *Contractor Certification to Covered Agency* form ([ST-220-CA](#)). The contractor must also submit a copy of the certificate of authority, if available, for itself and any affiliates required to register to collect state sales and compensating use tax. If certificates of authority are unavailable, the contractor, affiliate, subcontractor or affiliate

of subcontractor must represent that it is registered and that it has confirmed such status with DTF.

The above-noted ST-220-TD and ST-220-CA forms, and additional information regarding NYS Sales and Compensating Use Taxes can be found at the following websites:

Publication 223

<http://www.tax.ny.gov/pdf/publications/sales/pub223.pdf>

ST-220-CA

http://www.tax.ny.gov/pdf/current_forms/st/st220ca_fill_in.pdf

ST-220-TD

http://www.tax.ny.gov/pdf/current_forms/st/st220td_fill_in.pdf

<https://www.tax.ny.gov/>

7.15 Consultant Disclosure Requirements

Chapter 10 of the Laws of 2006 requires collection and reporting of consulting services contracts by New York State vendors and state agencies during the April 1 through March 31 State Fiscal Year. The Law took effect June 19, 2006.

- **Initial Report Requirements**

State contractors are required to disclose by employment category, the number of persons projected to be employed who will provide services under a contract for consulting services, the number of hours that they will work, and the amount they will be paid working under the state contract. This will include information on any persons working under any subcontracts with the state contractor.

To comply with these reporting requirements, state contractors must complete and submit form [AC-3271-S, New York State Consultant Services Contractor's Planned Employment](#) to their OCFS contract manager with applicable contract documents.

- **Annual Report Requirements**

State contractors must also report each year on the actual employment information described above, including work performed by subcontractors. The report must include employment information for the period ending March 31st of each year. To comply with the annual reporting requirement, state contractors must complete form [AC-3272-S, New York State Consultant Services Contractor's Annual Employment Report](#). The form must be submitted by April 30th of each year to the following offices:

New York State Office of Children and Family Services
Bureau of Contract Management
52 Washington Street, South Building, Room 202
Rensselaer, New York 12144

New York State Office of the State Comptroller
Bureau of Contracts
110 State Street, 11th Floor
Albany, New York 12236
Attn: Consultant Reporting

New York State Department of Civil Service
Alfred E. Smith Office Building
Counsel's Office, 8th Floor
80 South Swan Street
Albany, New York 12239

7.16 Application Development or Programming

Any web-based intranet and internet information and applications development, or programming delivered pursuant this procurement must comply with New York State Enterprise IT Policy NYS-P08-005, Accessibility Web-Based Information and Applications, and New York State Enterprise IT Standard NYS-S08-005, Accessibility of Web-Based Information Applications, as such policy or standard may be amended, modified or superseded, which requires that state agency web-based intranet and internet information and applications are accessible to person with disabilities. Web content must conform to New York State Enterprise IT Standards NYS-S08-005, as determined by quality assurance testing. Such quality assurance testing will be conducted by OCFS and the results of such testing must be satisfactory to OCFS before web content will be considered a qualified deliverable under the contract or procurement.

7.17 Federal Funds

OCFS will be using federal dollars to fund all or part of this project. The federal funding requirements will be included as Appendix A3 of any contract that results from this RFP. A copy of Appendix A3, with a completed and signed certification must be returned with the bidder's proposal (see **Section 5.3.2. Proposal Content**).

7.18 Executive Order Number 38

Executive Order Number 38 sets Limits on State-Funded Administrative Costs & Executive Compensation. Contracts, payment requests and reporting must comply with the regulations promulgated pursuant to this Executive Order. The Order can be found at the following website address: <http://executiveorder38.ny.gov/>

7.19 Executive Order Number 177

Executive Order 177, signed on February 3, 2018, by Governor Andrew M. Cuomo directs New York State agencies and authorities not to enter into any contracts with entities that have institutional policies or practices that fail to address the harassment and discrimination of individuals on the basis of their age, race, creed, color, national origin, sex, sexual orientation, gender identity, disability, marital status, military status, or other protected basis. The Contractor must provide the EO 177 certification statement prior to any award being made by OCFS.

7.20 Summary of Forms Required After Award and Prior to Contracting

1. Proof of Workers' Compensation Insurance (See Section 7.4.A for more information.)
2. Proof of Disability Insurance (See Section 7.4.B for more information.)
3. [AC-3271-S, New York State Consultant Services Contractor's Planned Employment](#) (See Section 7.15 for more information.)
4. [ST-220-TD, Contractor Certification](#) (NYS Tax Department form) (if applicable) (See Section 7.14 for more information.)
5. [ST-220-CA, Contractor Certification to Covered Agency](#) (NYS Tax Department form) (if applicable) (See Section 7.14 for more information.)
6. [OCFS-4716, Contractor Employee and Volunteer Background Certification](#) (if applicable) (See Section 7.5 for more information.)
7. [OCFS-4715, Confidentiality Non-Disclosure Agreement](#) (if applicable) (See Section 7.5 for more information.)
8. [OCFS-4821, Contract Management System \(CMS\) Authorization Form](#) (See Section 7.3 for more information.)

7.21 Summary of Forms Required During Contract Term

1. [OCFS-4441, MWBE Quarterly Report Form](#) (See [Appendix MWBE](#) for more information.)
2. [AC-3272-S, New York State Consultant Services Contractor's Annual Employment Report](#) (See Section 7.15 for more information.)

7.22 Appendix A - Standard Clauses for NYS Contracts

The Proposer has read, understands, and accepts all provisions of Appendix A – Standard Clauses for NYS Contracts. Appendix A contains important information related to the contract to be entered into as a result of this RFP and will be incorporated, without change or amendment, into the contract entered into between OCFS and the selected Proposer. By submitting a response to the RFP, the Proposer agrees to comply with all the provisions of Appendix A. Please review the sample non-grant contract template, which includes the Appendix A, available at <https://ocfs.ny.gov/main/bcm/Non-Grant-Contract-Template.pdf>.

Please note these attachments are on separate pages accompanying this RFP. They are available on the OCFS website (<http://ocfs.ny.gov/main/bcm/rfp.asp>) and the NYS Contract Reporter website at (<https://www.nyscr.ny.gov/login.cfm>)

Attachment A – Cost Proposal – Non-Grant Budget Template
Attachment B – Budget Summary (included in Attachment A)